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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/014,960
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	2681
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
9/	AA	US-5,926,798	07-20-1999	Carter	
	AB	US-6,085,178	07-04-2000	Bigus et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			

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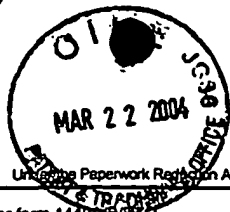
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Substitute for form 1449, 08-01-01				<b>Complete If Known</b>	
				Application Number	10/014,960
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
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				Attorney Docket Number	SONY 3.0-022
Sheet	1	of	1		

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CLW	AA	US-5,329,589	07-12-1994	Fraser et al.	

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	AA	US-5,561,709	10-01-1996	Remillard	
	AB	US-5,878,235	03-02-1999	Porterfield et al.	
	AC	US-6,000,832	12-14-1999	Franklin et al.	
	AD	US-6,128,602	10-03-2000	Northington et al.	

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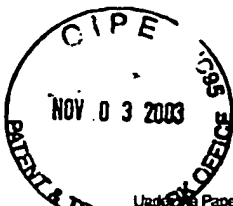
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JH	AA	US-5,815,665	09-29-1998	Teper et al.	
	AB	US-5,878,139	03-02-1999	Rosen	
	AC	US-6,529,885	03-04-2003	Johnson	
	AD	US-6,047,268	04-04-2000	Bartoli et al.	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
an	BA	TW-87107650	02-01-1999	Ritter	**

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\*\*English translation of abstract only.

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JW	AA	5,815,665	09-29-1998	Teper et al.	
	AB	5,878,139	03-02-1999	Rosen	

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JW	BC	Taiwan 351799	02-01-1999	Rudolf Ritter	

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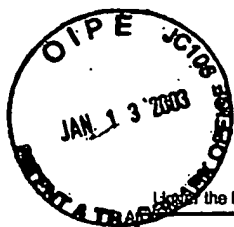
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Number-Kind Code <sup>2</sup> (if known)			
JN	AA	5,557,518	09-17-1996	Rosen	RECEIVED JAN 15 2003
	AB	5,761,308	06-02-1998	Torii et al.	
	AC	5,943,423	08-24-1999	Muftic	
	AD	6,029,150	02-22-2000	Kravitz	

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JH	AA	5,737,701	04/07/98	Rosenthal et al.	
	AB	6,105,010	08/15/00	Musgrave	
	AC				
	AD				
	AE				
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	AG				
	AH				
	AI				
	AJ				

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
JH	BA	WO 99/06928	02/11/99			

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JH	CA	DRURY; Demand Jumps For High-Tech ID Producer; Business First; 22 October 2001; Vol. 18, No. 3, page 1.	
	CB		
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

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JW	AA	5,744,787	04-28-1998	Teicher	RECEIVED APR 08 2002 Technology Center 2600
	AB	5,521,362	05-28-1996	Powers	
	AC	5,461,217	10-24-1995	Claus	
	AD	5,221,838	06-22-1993	Gutman et al.	
	AE	5,550,358	08-27-1996	Tait et al.	
	AF	5,748,737	05-05-1998	Daggar	
	AG	5,856,659	01-05-1999	Drupsteen et al.	
	AH	5,878,138	03-02-1999	Yacobi	
	AI	5,936,220	08-10-1999	Hoshino et al.	
	AJ	5,945,652	08-31-1999	Ohki et al.	

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JW	CA	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".	
JW	CB	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".	
JW	CC	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".	

Examiner Signature		Date Considered	10/27/01
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.